

Practitioner's Docket No. 30812

PATENT

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTENTION: Licensing and Review

PETITION FOR RETROACTIVE LICENSE (37 CFR 5.25)

NOTE: See M.P.E.P. § 140, 6th ed., rev. 3.

1. Petition

It is respectfully requested that this petition for license for foreign filing attached hereto be granted retroactively under the provisions of 37 CFR 5.25.

2. Previous Licenses (applicable not applicable)

Attached are copies of

previous licenses
 the filing receipt license

issued on this invention before the export.

I hereby certify that this document is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 12 day of April, 2001.

HOVEY, WILLIAMS, TIMMONS & COLLINS
By Katie Kugm

3. Material filed abroad without a license

Attached is a copy of the material that was filed abroad without a license for foreign filing.

4. Identification of inventor(s), title of invention and, if applicable, details of corresponding U.S. application

Inventor(s) name(s): SUSAMU TAKETOMI, CHRISTOPHER M. SORENSEN, KENNETH J. KLABUNDE

Title of Invention: DISPERSED GLASS COMPOSITE INCLUDING RARE EARTH IRON GARNET/NANOPARTICLES
(complete the following, if applicable)

In re application of: Group No.: 1773

Application No.: 09 / 679,856 Examiner's Name:

Filing Date: October 5, 2000

5. Foreign Countries and dates of filing of material for which retroactive license requested (37 CFR 5.25(a)(1))

With respect to the material for which a retroactive license is requested, each foreign countries in which the patent application material was filed and the date it was filed is as follows:

Foreign Country

Japan

Date

April 5, 2000

6. Verified Statement(s)

Also attached hereto are the verified statement(s) of:

SASAMI TAKETOMI
CHRISTOPHER M. SORENSEN
KENNETH J. KLABUNDE

which confirm(s) that, in accordance with 37 CFR 5.25(a)(3)(i)-(iii),

- (a) the subject matter in question was not under a secrecy order at the time it was filed abroad, and that it is not currently under a secrecy order;
- (b) the license is being diligently sought after discovery of the proscribed foreign filing; and
- (c) an explanation of why the material was filed abroad through error and without deceptive intent without the required license under § 5.11 first having been obtained.

NOTE: See 37 CFR 5.25(a)(4).

7. Fee (37 CFR 1.17(h))

The fee for this petition for retroactive license is paid by

the attached check for \$130.00.
 charging Account No. _____ \$130.00. A duplicate of this petition is attached.

Reg. No.: 26,262

SIGNATURE OF PRACTITIONER

John M. Collins

(type or print name of practitioner)

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